



PRIVACY POLICY

At **Ancora Capital** and its affiliated companies, we recognize the importance of protecting your privacy and we have policies in place to maintain the confidentiality and security of your personal information.

The following policy is designed to help you understand what information we collect from you and how we use that information to serve your account.

CONFIDENTIALITY & SECURITY

We restrict access to nonpublic, personal information about you to those employees and agents who need to know that information to provide products and services to you. We maintain physical, electronic, and procedural safeguards to protect your nonpublic, personal information

CATEGORIES OF INFORMATION THAT ANCORA DISCLOSES AND PARTIES TO WHOM ANCORA DISCLOSES:

We do not disclose any non public, personal information about our customers or former customers to anyone (unaffiliated third parties) unless necessary to deliver the products and services Ancora provides to you or unless required by the government, law, court order or regulation to do so.

CATEGORIES OF INFORMATION THAT ANCORA COLLECTS

We collect nonpublic personal information from the following sources:

- Information we receive from you on application or other forms;
- Information about your transactions with us, our affiliates, or other; and
- Information we receive from a customer-reporting agency.

Disclaimer

Most Ancora employees are employees of multiple Ancora affiliates or provide services to more than one Ancora affiliate. A dual employee or service providing employee may see, use or need access to personal information as part of their job responsibilities.

This Privacy Notice is being provided on behalf of the following affiliated companies:

Ancora Advisors LLC
Ancora Securities, Inc.

If you have more than one account with Ancora, you may receive multiple notices.